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PATENT

Appln. No. 09/698,044

Customer No. 22,852

Information Discl. Stmt. dated March 30, 2004

Attorney Docket No. 7451.0001-16

InterTrust Ref. No.: IT-5.6.1 (US)

**CERTIFICATE OF EXPRESS MAILING**

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Signed:

*Cindy Baglietto*

Cindy Baglietto

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

GINTER et al.

Application No.: 09/698,044

Filed: October 30, 2000

For: SYSTEMS AND METHODS FOR  
SECURE TRANSACTION  
MANAGEMENT AND  
ELECTRONIC RIGHTS  
PROTECTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached PTO 1449. To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing of the first Office action after the filing of a Request for Continued Examination in the above-referenced application. This Information Disclosure Statement is directed to references Applicants have become aware of in relation to litigation of related U.S. Patent Nos. 5,892,900, 5,915,019, 5,917,912, 5,920,861, 5,949,876, 5,982,891, 6,112, 181, 6,157,721, 6,185,683, 6,253,193 and 6,389,402, of which 6,253,193 is the parent, and 5,982,891 is the grandparent, of the instant

application. Copies of the listed documents are provided in electronic format via three (3) CD-ROM disks submitted herewith.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited document does not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916. If the Examiner has any further questions or any additional information is desired, the undersigned may be contacted directly at (650) 849-6643.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 30, 2004

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Applicant	Karl L. Ginter, et al.		
Filing Date	October 30, 2000	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,573,747	04/06/71	Adams, et al.			
	3,790,700	02/05/74	Callais, et al.			
	3,806,874	04/21/74	Ehrt			
	3,946,200	03/23/76	Juodikis			
	4,162,483	07/24/79	Entenman			
	4,278,837	07/14/81	Best			
	4,405,829	09/20/83	Rivest et al.			
	4,584,639	04/22/86	Hardy			
	4,593,183	06/03/86	Fukatsu			
	4,622,222	11/11/86	Horváth et al.			
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	4,727,550	02/23/88	Chang et al.			
	4,798,209	01/27/89	Klingenbeck, et al.			
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OMB No. 0651-0011

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	5,420,927	05/30/95	Michali			
	5,421,006	05/30/95	Jablon et al.			
	5,438,508	08/01/95	Wyman			
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	5,534,855	07/09/96	Shockley et al.			
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	5,629,770	05/13/97	Brassil et al.			
	5,634,012	05/27/97	Stefik et al.			
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	5,978,484	11/02/99	Apperson et al.			
	5,999,949	12/99	Crandall			
	6,102,965	08/00	Dye et al.			
	6,135,646	10/24/00	Kahn et al.			
	6,363,488	3/02	Ginter et al.			
	6,389,402	5/02	Ginter et al.			
	6,427,140	7/02	Ginter et al.			
	6,449,367	9/02	Van Wie et al.			
	6,618,484	9/03	Van Wie et al.			
	6,640,304	10/03	Ginter et al.			
	6,658,568	12/03	Ginter et al.			



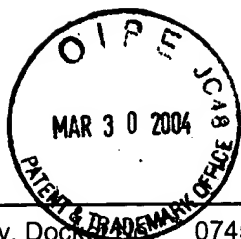
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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,668,325	12/03	Collberg et al.			

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	EP 0 367 700 A2	05/09/90	EPO			
	EP 0 567 800 A1	11/03/93	EPO			
	EP 0 653 695 A2	05/17/95	EPO			
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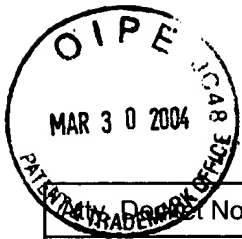


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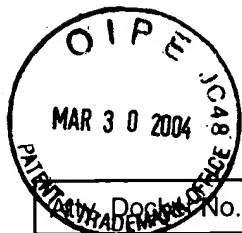
\* Did not receive any NPL



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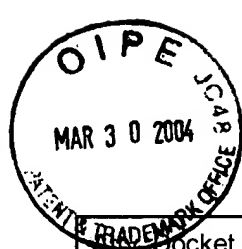


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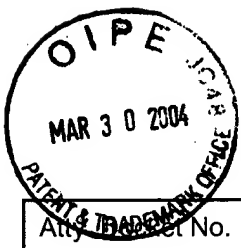




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